

## JOINT WORK STATEMENT

**JWS/CRADA #\_\_\_\_\_**

<p><b>{Project Title}</b> <b>{Submittal Date}</b></p>
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### A. Data Table

1. Summary/OSTI Abstract

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2. Participant(s) Name and Address

(NAME)  
(Mailing or Street Address)  
(City, State, Zip)  
(Telephone Number)

(NAME)  
(City, State, Zip)  
(Telephone Number)

3. Participant Type (check all that apply)

	Participant One	Participant Two
Foreign	G	G
University	G	G
Small Business	G	G
Large Business	G	G
State or Local Government	G	G
Not-for-Profit	G	G
National Laboratory	G	G

4. Funding Table

	Year 1		Year 2		Year 3		Totals
Funding Type	Funds-in	*In-kind	Funds-in	*In-kind	Funds-in	*In-kind	
Participant 1							
Participant 2							
Dept. of Energy							
Totals							
Federal Admin Charge if applicable							

*\*Note: If applicable, the contractor has reviewed the participant(s) proposed in-kind contribution and based on **G** experience, **G** financial analysis, and/or **G** sound business judgment has determined the estimated in-kind contribution(s) is/are reasonable.*

5. Identify the DOE mission area (**G** Energy, **G** Environmental Quality, **G** Science, **G** National Security, **G** Other) that will benefit from this CRADA. If other, please explain.
6. How does the proposed CRADA benefit DOE, Participant, and U.S. Taxpayer?  
(Some facilities require textual explanation; others provide a checklist for each stakeholder. An example follows.)

**EXAMPLE:**

**DOE Benefit:** **G** Assists laboratory achieve programmatic scope, **G** adds new capability to the laboratory's core competencies, **G** enhances the laboratory's core competencies, **G** uses the laboratory's core competencies, and/or **G** enhances U.S. competitiveness by utilizing DOE-developed intellectual property and/or capabilities.

7. DOE Program Manager

\_\_\_\_\_  
Telephone No.

8. B&R Code and/or FWP Number

\_\_\_\_\_

9. The Proposed CRADA will be based upon **G** Modular CRADA, **G** Short Form CRADA, **G** Multilab CRADA, **G** USIC, **G** other (identify)\_\_\_\_\_.

**B. Special Considerations**

1. Background Intellectual Property (e.g., inventions or copyrightable software, etc.)?  
**G** Yes      **G** No  
If yes, list (1) \_\_\_\_\_  
(2) \_\_\_\_\_  
(3) \_\_\_\_\_
2. Is the company interested in licensing Background Intellectual Property? **G** Yes   **G** No  
Please identify any known impediments for such licensing. \_\_\_\_\_
3. Are human or animal subjects involved in this project?      **G** Yes      **G** No  
(If yes, before the CRADA can be executed, approvals must be obtained from the Institutional Review Board or the Animal Care and Use Committee.)
4. Have all necessary environmental, safety, health and quality (NEPA) reviews been satisfactorily completed? **G** Yes      **G** No (explain)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
5. The laboratory is responsible for obtaining OCI Certificates and will maintain in the file the completed OCI Certificates for each employee with a substantial role in this CRADA. Are there any organizational or personal conflict of interest issues associated with this CRADA?  
**G** Yes (explain)      **G** No  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
6. Will export controlled and classified information be used or produced?   **G** Yes      **G** No  
If yes, identify.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
7. How was Fairness of Opportunity determined?   **G** Participant approached laboratory  
**G** Participant responded to CBD   **G** Participant was contacted by laboratory after or during broad public announcement. *(Supporting documentation is to be maintained in CRADA file.)*
8. Did the Participant require any substantive/material changes to the laboratory-/field-approved modular CRADA and/or any changes to double-underlined language?   **G** Yes      **G** No  
If yes, attach copies of the proposed modified articles, and (if applicable) Participant's U.S. Competitiveness worksheet/justification. If requested by the Contracting Officer, the Contractor will provide justification for modified articles in writing.

9. **Additional Notes:** If applicable, identify special considerations or comments.

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*If applicable, add approval signature blocks for DOE and the Contractor.*